# Computational Modeling of Polycrystalline Silicon on Oxide Passivating Contact for Silicon Solar Cells

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Abstract-Polycrystalline silicon on oxide (POLO) junction passivating contacts have recently been demonstrated as carrier selective contacts for high-efficiency silicon solar cells. The carrier transport through these contacts has been attributed to two competing mechanisms: 1) carrier tunneling through ultrathin oxide and 2) transport through weak spots (pinholes) - the nanoscale regions where oxide thickness has been completely or partially compromised during the processing. In this paper, we use two dimensional device simulations to compare the relative effects of these mechanisms on solar cell characteristics with ntype POLO contact. We show that variation in pinhole areal density  $(D_{ph})$  or the tunnel oxide thickness  $(t_{ox})$  both result in qualitatively similar trends in the cell characteristics under dark and light. For a given  $t_{ox}$ , an exponential variation in D<sub>ph</sub> results in trends that are similar to those for a linear variation in tox. The effect of pinholes on contact resistance  $(\rho_c)$  and saturation current density  $(J_0)$  is most significant for relatively thicker oxides ( $\geq$  2 nm). For  $\mathit{t}_{ox}$   $\leq$  1 nm,  $\rho_{c}$ and  $J_0$  become essentially insensitive to pinholes for  $D_{ph}$  $< 10^{10}$  cm<sup>-2</sup>. The modeling results are compared with a set of published experimental data to predict the possible roles of various mechanisms.

# *Index Terms*—Contact resistance, pinhole, saturation current density, tunneling.

# I. INTRODUCTION

**C**ARRIER selectivity is one of the key requirements to achieve efficiency of solar cells closer to the maximum limit of 29.5% [1]. Carrier selective contacts favor the flow of majority carriers and prevent the flow of minority carriers thus reducing the undesired recombination at the metal contacts. The classical example of a carrier selective contact is the well-known heterojunction with intrinsic thin

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layer silicon solar cell in which a thin layer of amorphous silicon (a-Si) makes a heterojunction with crystalline silicon (c-Si) base that can provide an excellent surface passivation and high efficiency (26.7%) [2]. However, the low thermal stability and parasitic absorption losses due to lower bandgap of a-Si [3], [4] have motivated research on alternative technologies [5], [6].

A set of alternative carrier selective contacts for silicon heterojunction are being investigated including transition metal oxides such as molybdenum oxide ( $MoO_x$ ), nickel oxide ( $NiO_x$ ) for p-type, titanium dioxide ( $TiO_2$ ), and zinc oxide (ZnO) for n-type contacts [7]–[10]. They offer reasonable thermal stability, better transparency due to their higher bandgaps (>3 eV), and require low-temperature fabrication. Various organic materials have also been demonstrated to improve carrier selectivity such as naphthalene-based polymer P(NDI2OD-T2) acting as an electron contact and polythiophenes being hole selective contact [11], [12]. While these metal oxide and organic material-based contacts have demonstrated high potential for carrier selectivity, they are still under development to be incorporated within current c-Si photovoltaic technology.

Building upon the concept of polycrystalline silicon (poly-Si) emitters for bipolar transistors [13]–[16], carrier selective contacts for c-Si-based solar cells have been demonstrated using poly-Si in place of using a-Si to avoid high-temperature stability issues [17]–[19]. Lindholm *et al.* [17] first introduced the concept of poly-Si based carrier selective contact that showed improvement in red spectral response and promised high value of open circuit voltage ( $V_{oc}$ ). However, the surface defects due to lattice mismatch issue at the poly-Si/c-Si junction limited its use. Gan and Swanson [18] investigated the role of thin SiO<sub>2</sub> layer at poly-Si/c-Si junction and discussed the effects of oxide break-up.

Working on this concept of polycrystalline silicon on oxide (POLO) junction, a number of studies have explored the concept of full area covered passivating contacts [19]–[23]. The main benefit of adding this layer of oxide between c-Si base and poly-Si is the suppression of minority carrier recombination at the contact. For example, Rohatgi *et al.* [22] report solar cells with 1.5-nm-thick oxide layer exhibiting excellent passivation ( $J_o \sim 5 \text{ fA/cm}^2$ ) and very low values of contact resistance ( $\rho_c \sim 7 \text{ m}\Omega \text{cm}^2$ ). While these POLO solar

cells can provide excellent values of  $V_{oc}$  and fill factor (FF), their underlying charge carrier transport mechanism is still under debate in the literature. Originally, it was thought that the quantum mechanical tunneling through the oxide layer is the sole mechanism dominating the carrier transport [13], [24], [25]. The experimental data from different groups, however, exhibited quite a large variation in trends which has led some researchers proposing alternative transport mechanisms [26]-[30]. For example, data of Gan and Swanson show excellent values of contact resistance but with relatively higher values of saturation current [18]. This may seem surprising particularly for the fact that Gan has used presumably thicker oxide layer ( $t_{ox} > 2$  nm) for which the tunneling through oxide should not be very strong. On the contrary, the data of Peibst et al. show higher values of contact resistance (  $\rho_{\rm c} ~\sim~ 100~{\rm m}\Omega{\rm cm}^2)$  and very low values of saturation current ( $J_0 \sim 1 \text{ fA/cm}^2$ ) while using relatively thin oxide ( $t_{ox} < 2 \text{ nm}$ ) [26], [31]–[33]. A fundamental reason for Peibst et al. [26] to question the standard tunneling model was the observation of symmetrical electrical behavior for symmetrically (n-Si/n-poly) and asymmetrically (n-Si/p-Si) doped contacts despite unequal tunneling barrier height for electrons and holes. Similar variations in the reported experimental data have stimulated interest in an in-depth analysis of POLO contacts where some research has proposed the transport through pinholes (nanoscale spots of localized reduction in the interfacial oxide layer) as an alternative mechanism to the tunneling [30], [34]. For example, Gan and Swanson [18] attributed the low contact resistance values observed for  $t_{ox} > 2$  nm in their experimental data to pinholes. Wietler et al. [35] also report an excellent match of measured and predicted values of  $J_0$  and  $\rho_c$  for pinhole mediated charge carrier transport in their samples. Various analytical and numerical models have also been presented to explain the trends observed in the experimental data using tunneling or pinhole models [22], [25], [36]-[38]. Peibst et al. [26], [33], [35], for example, have developed an analytical model based on carrier transport through pinholes. A recent work by Feldmann [39], [40] on the selective etching and temperature-dependent J - V measurements and similar work by Folchert provide an estimation of the fraction of tunnel and pinhole transport based on sample characterization [41]. Although these previous studies provide important insights, there is, however, no numerical modeling work so far which incorporates both mechanisms simultaneously and study their relative effects on cell characteristics under dark and illumination. A study based on self-consistent device simulations, in particular, is needed to incorporate the coupled effects of current crowding and surface band bending at the POLO contacts.

The objective of this paper is to use self-consistent 2-D numerical device simulations to quantify the relative effects of tunneling and pinholes on POLO solar cell characteristics. The modeling results are then compared with a set of published experimental data to understand the mechanisms underlying the experimental trends. It is of importance to mention here that although transport through pinholes ideally requires a 3-D model, 2-D approach used here is still valuable



Fig. 1. (a) 2-D schematic of the solar cell modeled in this paper. The pinholes are distributed uniformly across the POLO interface. (b) 3-D illustration of current paths for a line versus point contact.

and could be used to infer first-order insights into the trends in the experimental data.

We divide this paper into four sections. Section II describes the modeling approach. Section III discusses the results, and conclusions are provided in Section IV.

#### II. MODELING APPROACH

A 2-D schematic of the solar cell is shown in Fig. 1(a). The cell structure consists of n-doped crystalline silicon (c-Si) as the photoabsorbing material. A thin layer of p<sup>+</sup> c-Si is used at the top as an emitter. At rear, we have POLO interface that consists of an ultrathin oxide stacked on a highly doped polycrystalline silicon (poly-Si) layer of 20 nm thickness. A shallow region of 50 nm with doping concentration of  $5 \times 10^{17}$  cm<sup>-3</sup> is assumed to be formed underneath the oxide layer in c-Si bulk to account for the dopant in-diffusion as reported in [26] and [39]-[41]. Front is partially contacted with nickel (work function  $(\phi_M) = 5.1$  eV) to let sunlight pass through while back is fully covered with aluminum  $(\phi_{\rm M} = 4.1 \text{ eV})$  contact. Surface recombination velocity  $(v_{\rm surf})$ at the front contact is 1 cm/s while at back is  $10^7$  cm/s unless otherwise specified. Other parameters used for simulations of the cell are listed in Table I.

Pinholes have been modeled by poly-Si tunnels through the oxide with a single pinhole having surface area of 4 nm<sup>2</sup>. Fig. 1(b) shows an illustration of current paths and the effective geometry of a single pinhole in our simple 2-D model (line contact) with a comparison to a more realistic 3-D (point contact) scenario. A difference in the lateral current paths and crowding effect may result in a quantitative error in our simulated  $\rho_c$  and  $J_0$ . We investigate this by comparing our simulated  $\rho_c$  and  $J_0$  with that obtained through well-known Fischer's model [42] for point contacts (see Appendix D). The simulated  $\rho_c$  is subsequently scaled with a constant correction factor for all simulation results while a good quantitative match was found for  $J_0$ . As our 2-D model is implicitly symmetric



Fig. 2. Energy band diagram of n-type POLO contact under short circuit condition with direction of tunneling highlighted. The diagram is not drawn to the scale.

in the  $3^{rd}$  dimension, the linewidth of pinhole is reduced to match the surface area with that of 3-D.

We consider two situations for the resistance of pinholes: the first case is when pinhole breaks the oxide completely and poly-Si comes in direct contact with c-Si (shorted pinhole), and the second case is when some residual oxide is still intact in the pinhole region (resistive pinhole). For our model, we consider this residual oxide in the range of 0.1 - 0.6 nm. The pinhole areal density ( $D_{\rm ph}$ ) is varied in the range of  $\sim 10^7 - 10^{11}$  cm<sup>-2</sup>.

The effect of interfacial defects  $(D_{it})$  is modeled through  $v_{surf}$ . The interfacial defect density could be analytically expressed as [43]:

$$D_{\rm it} = \frac{v_{\rm surf}}{\sigma v_{\rm th} \Delta E} \tag{1}$$

where  $\sigma$  is the capture cross section and is assumed equal to  $1 \times 10^{-15}$  cm<sup>-2</sup> [44], and  $v_{\text{th}}$  is the carrier thermal velocity  $\sim 10^7$  cm/s for c-Si. The interfacial defects are assumed to be spread uniformly throughout the energy range ( $\Delta E$ ), which is assumed to be equal to the bandgap of silicon.

#### A. Cell Electrostatics/Carrier Transport

The energy band diagram of the cell under short-circuit condition is shown in Fig. 2. The photogeneration of carriers takes place inside c-Si and drift-diffusion flux of these carriers move towards their respective contacts. At the semiconductor/oxide interface, charge carriers can either tunnel or jump over the energy barrier through thermionic emission. Since the energy barrier offered by the oxide layer is very high, i.e., 3.2 eV for electrons and 4.6 eV for holes [45], the probability of thermionic emission is significantly suppressed. The dominant mechanism for current flow is, therefore, tunneling through oxide, conduction through pinholes, and/or recombination due to interfacial states. The device simulations are done in PADRE which is a 2-D simulator designed to numerically solve the coupled set of carrier continuity equations and the Poisson equation self-consistently [46].

Since PADRE does not provide a direct model for quantum mechanical tunneling, we use a two-step approach to implement it at the POLO contact. First, we calculate the tunneling probability ( $T_{QM}$ ) at the contact using rigorous quantum mechanical simulations. Next, a phenomenological approach is used to implement  $T_{OM}$  in PADRE by making



Fig. 3. Trend of  $J_o$  and  $\rho_c$  as a function of  $t_{ox}$  is presented keeping  $v_{surf}$  (1 cm/s) at the front contact. Inset shows the trend of  $J_o$  versus  $\rho_c$  for which  $v_{surf}$  is varied from 1 cm/s to 100 cm/s and 400 cm/s.

adjustment in the thermionic barriers for electrons and holes at the POLO contact. For the 1<sup>st</sup> step, we use Transfer Matrix Method (TMM) that numerically solves the Schrödinger equation through the thin oxide barriers at the contact. The details of this method have been reported elsewhere [7], [47]. Comparing TMM with the conventional finite difference method commonly used for numerically solving the Schrödinger equation, it has been shown that TMM provides a better accuracy [48]. Fig. A1 shows  $T_{\rm QM}$  for electrons at POLO contact and a comparison with an analytical approach based on W-K-B approximation. An equivalent probability for thermionic emission ( $T_{\rm therm}$ ) for a given  $t_{\rm ox}$  could be calculated by:

$$T_{\rm therm} = T_{\rm QM} = e^{-\phi_{\rm n(p), eff}/k_{\rm B}T}$$
(2)

where  $\phi_{n(p),eff}$  is the effective thermionic barrier for electrons (holes), T is the temperature, and  $k_B$  is Boltzmann's constant. The calculated  $\phi_{n(p),eff}$  are then incorporated in PADRE by adjusting the effective electron affinity ( $\chi$ ) and bandgap ( $E_g$ ) of the oxide layer. It is straightforward to show that this phenomenological approach preserves the surface band bending while effectively modeling the quantum tunneling at the POLO contact (see Appendix B). The procedure for extraction of  $J_o$  and  $\rho_c$  is discussed in Appendix C.

### **III. RESULTS AND DISCUSSION**

# A. Trends Under Dark

The essential figures of merit for an efficient solar cell contact include contact resistance and saturation current density. Fig. 3 shows the trend of  $\rho_c$  and  $J_o$  as a function of  $t_{ox}$  variation. The observed behavior can be classified into three regions. First, the region in which  $\rho_c$  shows a relatively small change with  $t_{ox}$  variation (region (i) in Fig. 3). This is the regime of ultrathin oxide layer ( $t_{ox} \le 0.6$  nm). Since tunneling probability is very strong in this regime, the oxide remains relatively transparent to both majority and minority carrier and the substrate properties are significant for both  $J_o$  and  $\rho_c$ . In the second region,  $\rho_c$  ( $J_o$ ) increases (decreases) with increasing  $t_{ox}$  (region (ii) in Fig. 3). This is the region



Fig. 4.  $J_o$  and  $\rho_c$  trends as a function of  $D_{\text{ph}}$  for  $t_{\text{ox}} = 3$  nm and three different cases of: 1) shorted pinholes, 2) moderately resistive pinhole with 0.3-nm residual oxide; and 3) highly resistive pinhole with 0.6-nm residual oxide. Inset shows  $J_o$  versus  $\rho_c$  trends for three different cases of pinholes.

of moderate oxide thickness ( $0.6 \le t_{ox} \le 1.5$  nm) which is strongly sensitive to  $t_{ox}$  while the substrate properties play a negligible role here. Third is the region in which  $J_0$  is saturated while  $\rho_c$  keeps on increasing (region (iii) in Fig. 3). This is the region of high oxide thickness ( $t_{ox} > 1.5$  nm). The saturation of  $J_0$  in this region is due to the suppression in the tunneling current for the minority carriers up to the limit at which the recombination through bulk defects or front surface dominates  $J_{\rm o}$ . The minimum value of  $J_{\rm o}$  in Fig. 3 is  $\sim 0.5$  fA/cm<sup>2</sup> that corresponds to bulk recombination lifetime of 100 ms and front surface recombination velocity of 1 cm/s. The flow of majority carriers, on the other hand, continues to be dominated by the tunneling resistance due to which  $\rho_{\rm c}$  continues to increase with increasing  $t_{ox}$ . From these trends, it can be observed that an optimal value of  $t_{ox}$  for poly-Si based contacts could be  $\sim 1.5$  nm for which we can achieve the lowest value of  $J_0$  without a significantly large  $\rho_c$ . As we further increase  $t_{\rm ox}$ ,  $\rho_{\rm c}$  increases without any suppression in  $J_{\rm o}$ .

Interestingly, by using similar topology of POLO contacts, different groups report different values of  $J_0$  and  $\rho_c$ . While Gan and Swanson [18] report higher values of  $J_0$  for very low values of  $\rho_c$ , Peibst et al. [26] report high values of  $\rho_c$ . In this regard, the surface recombination (front or back) is one of the key parameters which can modulate  $J_0$ . Inset of Fig. 3 shows the effect of passivation at front contact on cell characteristics with  $t_{0x}$  variation. For the lowest value of  $J_0$  (0.5 fA/cm<sup>2</sup>) in Fig. 3, value of  $v_{surf}$  at the top contact is 1 cm/s which corresponds to  $D_{it} \sim 1 \times 10^8$  cm<sup>2</sup>eV<sup>-1</sup>. As we increase  $D_{it}$  ( $v_{surf}$ ) of the top metal contact, the lowest value of  $J_0$  increases. A similar trend is observed in experimental data reported in [22], [26], [31].

We now consider the trends (in dark) for the variation of pinholes in the oxide layer. As shown in Fig. 4,  $D_{\rm ph}$ is varied in the range of ~  $10^7 - 10^{11}$  cm<sup>-2</sup> for different cases of resistive and shorted pinholes, for a thick oxide ( $t_{\rm ox} = 3$  nm). As more and more pinholes are introduced in the oxide layer, charge carriers find increasing parallel paths of low resistance to flow through. Since majority (minority) carriers can flow easily through these parallel paths,  $\rho_{\rm c}$  ( $J_{\rm o}$ ) decreases (increases). For very low  $D_{\rm ph}$  (~  $10^7$  cm<sup>-2</sup>),  $J_{\rm o}$ 



Fig. 5.  $J_o$  and  $\rho_c$  as a function of  $D_{\text{ph}}$  for a thin oxide layer ( $t_{\text{ox}} = 1$  nm). In this case, shorted pinholes are considered.



Fig. 6. Comparison of trends for  $J_o$  and  $\rho_c$  as a function of  $t_{ox}$  and  $D_{ph}$ . In this case, shorted pinholes formed in a 3-nm-thick oxide have been assumed.

is saturated to its lowest value because the oxide layer is nearly intact and the front surface recombination dominates  $J_o$ . For higher values of  $D_{\rm ph}$  (> 10<sup>9</sup> cm<sup>-2</sup>),  $J_o$  shows a higher sensitivity to  $D_{\rm ph}$ .  $\rho_c$  shows a significant sensitivity for entire range of  $D_{\rm ph}$  (10<sup>7</sup> – 10<sup>11</sup> cm<sup>-2</sup>). Similar trends have been reported for analytical models in [40]. It is interesting to note that for low  $D_{\rm ph}$  (~ 10<sup>7</sup> cm<sup>-2</sup>),  $J_o$  for shorted versus resistive pinholes is almost the same while their respective  $\rho_c$  shows a significant difference. This difference in  $\rho_c$  continues for the entire range of  $D_{\rm ph}$ . Inset of Fig. 4 shows that as the pinhole acquires more and more resistance, due to increasing value of its residual oxide, we move toward the region of higher  $\rho_c$  and lower  $J_o$ . For the case of resistive pinholes, minimum value of resistance can only be achieved at very high  $D_{\rm ph}$ (> 10<sup>11</sup> cm<sup>-2</sup>)–a situation when most of the oxide layer is broken-up with pinholes.

To demonstrate the effect of pinholes in thinner oxide layers, we study the pinhole variation for  $t_{ox} = 1$  nm. For this case,  $\rho_c / J_o$  is almost constant for  $(D_{ph} \le 10^9 \text{ cm}^{-2})$  and changes significantly for  $D_{ph} > 10^{10} \text{ cm}^{-2}$ , as seen in Fig. 5. Contact resistance is relatively unaffected for lower value of  $D_{ph}$  for the fact that majority carrier tunneling through the 1 nm is strong enough to offer a significantly low resistance to carrier





Fig. 7. Solar cell characteristics as a function of  $D_{ph}$  under illumination. For resistive pinholes, 0.3 nm of residual oxide is assumed to be present inside the pinhole region.  $v_{surf}$  for the front metal contact has been assumed to be 1 cm/s for all three cases.



Fig. 8. Comparison of solar cell characteristics under illumination as a function of  $t_{0x}$  and  $D_{ph}$ . Solid lines are for  $t_{0x}$  variation while symbols represent variation in  $D_{ph}$  for shorted pinholes in thin tunneling oxide ( $t_{0x} = 1$  nm).

flow. For higher  $D_{\rm ph}$  (> 10<sup>10</sup> cm<sup>-2</sup>), pinholes bring a modest decrease in the contact resistance.

A comparison of the effects of  $D_{\rm ph}$  and  $t_{\rm ox}$  variation on dark characteristics shows a close resemblance between the two trends. The results shown in Figs. 3 and 4 for  $t_{\rm ox} = 3$  nm are plotted together in Fig. 6. As the pinholes are introduced in the oxide (3 nm) at a very low density ( $\sim 10^7 \text{ cm}^{-2}$ ), the values for  $J_{\rm o}$  and  $\rho_{\rm c}$  become similar to that for  $t_{\rm ox} \sim 1.8$  nm (with no pinhole). A change in  $D_{\rm ph}$  in the range of  $10^7 - 10^{11} \text{ cm}^{-2}$ at  $t_{\rm ox} = 3$  nm closely resembles to a change in  $t_{\rm ox}$  (with no pinholes) from 1.8 nm to a very small value of oxide thickness ( $\sim 0.6 - 0.7$  nm).

# B. Trends Under Light

The characteristics of the solar cell under illumination are shown in Fig. 7 for shorted and resistive pinholes for  $t_{\rm ox} = 3$  nm and 1 nm. Since standard AM1.5G solar spectrum is not available in PADRE, the light characteristic are obtained under uniform generation in the absorber and absolute values should, therefore, be understood only in the relative terms. Nevertheless, a reasonable insight into the general trends of the solar cell's performance under light can be obtained. Since  $J_{\rm o}$  increases with an increase in  $D_{\rm ph}$ , we see a degradation in efficiency  $(\eta)$  for higher  $D_{ph}$ . For the case of shorted pinholes in  $t_{ox} = 3$  nm, open circuit voltage ( $V_{oc}$ ) and efficiency significantly drop with an increase in D<sub>ph</sub>. Short-circuit current density  $(J_{sc})$ , however, remains undisturbed for lower  $D_{ph}$  but slightly drops for  $D_{\rm ph}$  (>10<sup>10</sup> cm<sup>-2</sup>). Unlike thicker oxide  $(t_{ox} = 3 \text{ nm})$ , the thinner oxide  $(t_{ox} = 1 \text{ nm})$  shows lower degradation in cell characteristics for shorted pinholes at low  $D_{\rm ph}$  (10<sup>7</sup> – 10<sup>9</sup> cm<sup>-2</sup>). For the case of resistive pinholes (solid curves), degradation with increasing  $D_{ph}$  is lower as compared to that for the shorted pinholes at the same  $t_{ox}$  (3 nm). The reason for this behavior is the additional blocking effect offered by the residual oxide present inside pinhole. Since higher values of  $D_{ph}$  can significantly degrade  $\eta$ , careful fabrication techniques that can minimize  $D_{ph}$  are desired.

Fig. 8 shows the cell characteristics as a function of  $t_{ox}$ . For  $t_{ox} \ge 1$  nm,  $J_{sc}$  is slightly improved with increasing  $t_{ox}$  due to a decrease in tunneling probability for minority carriers through oxide barrier.  $V_{oc}$  also increases as  $t_{ox}$  is increased due to reduction of  $J_0$ . This behavior is analogous to the typical MIS solar cells. As  $t_{ox}$  is increased beyond 2.5 nm, characteristics start deteriorating due to the suppression of photogenerated carrier flux through a thick oxide barrier. The FF and efficiency, therefore, drop significantly.

Just like the case of solar cell characteristics under dark, we see a close resemblance between oxide and pinhole variation models for the cell characteristics under illumination as shown in Fig. 8. The effect of variation in  $D_{\rm ph}$  in the range of  $10^7 - 10^{11}$  cm<sup>-2</sup> for  $t_{\rm ox} = 1$  nm can equivalently be obtained through  $t_{\rm ox}$  variation in the range of 0.7 - 1.7 nm.

### C. Comparison With Experimental Data

We now use our model to understand some of the trends in a set of reported experimental data. Fig. 9 shows a comparison of experimental data reported by various groups for



Fig. 9. Match with experimental data. Symbols represent the experimental data from different groups and lines represent our proposed models.



Fig. A1. Comparison of surface recombination velocity calculated through W-K-B approximation (line) and TMM (symbol). Inset shows a plot for  $T_{\text{OM}}$  versus ( $E - E_c$ ).

n<sup>+</sup> poly-Si/c-Si junctions (symbols), as well as simulation trends from our models. To interpret the set of experimental data, we use three different models. Model 1 is based upon coupled variation in  $t_{ox}$  and  $D_{it}$ . Model 2 is based upon variation only in  $t_{ox}$ . Model 3 is based upon variation of  $D_{ph}$  in the oxide. The experimental data from Gan et al. show trends which have a remarkably good match with model 3 where  $D_{\rm ph}$  is varied in the range of  $\sim 10^7 - 10^{10}$  cm<sup>-2</sup>. Interestingly, Gan and Swanson [18] also predicted the formation of pinholes in oxide layer during the two-step annealing process in their work. To compare the same data with model 1,  $v_{surf}$  is varied in the range of 420 - 1000 cm/s and  $t_{ox}$  in the range of 1.5 - 2 nm which provides a linear curve not showing a good match with the data. For the data of Peibst et al., model 1 shows a relatively good correlation by varying  $t_{ox}$  in the range of 2.2 – 2.8 nm along with variation of  $v_{surf}$  in the range of 4 - 40 cm/s. For the data from Feldmann *et al.* and Yan *et al.*, model 1 with  $t_{ox}$  variation of 1.7 – 2.2 nm, and  $v_{surf}$  of 70 cm/s and 400 cm/s, respectively, provides a good match with the trends. From these trends, we infer that for higher values of  $\rho_{c}$ ,  $t_{ox}$  variation coupled with surface state variation (model 1) best explains the data. For the case of very low values of  $\rho_c$ , the effect of pinholes seems to be significant.

Although this paper has focused on symmetrically doped n-Si/n-poly contacts, the simulation framework used here can be applied to asymmetrically doped n-Si/p-poly contacts. The relative trends for symmetric versus asymmetric POLO contacts are intended to be explored as a part of a future study.

#### **IV. CONCLUSION**

We have performed a computational study to understand the relative effects of tunneling and pinholes in POLO contacts for crystalline silicon solar cells. The dark and light characteristics for these solar cells with varying pinhole density show a behavior similar to that for varying oxide thickness within an appropriate range. A comparison of our model with a set of experimental data suggests that pinhole transport may be dominant when contact resistance is very small (<  $10^{-1}$  m $\Omega$ cm<sup>2</sup>) and saturation current density is relatively large (> 10 fA/cm<sup>2</sup>). The relative effect of pinholes strongly depends on the oxide thickness, with thicker oxides ( $\geq 2$  nm)

TABLE I PARAMETERS USED FOR SOLAR CELL SIMULATIONS

	Emitter	Bulk	BSF
	c–Si	c–Si	Poly–Si
Thickness [nm]	300	$2 \times 10^{5}$	20
$N_{\rm A}  [{\rm cm}^{-3}]$	$1 \times 10^{19}$	-	-
$N_{\rm D}  [{\rm cm}^{-3}]$	-	$1 \times 10^{16}$	$1  imes 10^{19}$
au [ms]	100	100	$1 \times 10^{-9}$
$\mu_{ m n}~[ m cm^2/Vs]$	1000	1200	1000
$\mu_{ m p}~[{ m cm}^2/{ m Vs}]$	450	500	450
$R_{\rm an}  [{\rm cm}^6/{\rm s}]$	$1.1 \times 10^{-30}$	$1.1 \times 10^{-30}$	$1.1 \times 10^{-30}$
$R_{\rm ap} \ [{\rm cm}^6/{\rm s}]$	$0.3  imes 10^{-30}$	$0.3  imes 10^{-30}$	$0.3  imes 10^{-30}$
$N_{\rm c}  [{\rm cm}^{-3}]$	$2.82  imes 10^{19}$	$2.82  imes 10^{19}$	$2.82  imes 10^{19}$
$N_{\rm v}  [{\rm cm}^{-3}]$	$1.83  imes 10^{19}$	$1.83  imes 10^{19}$	$1.83  imes 10^{19}$

 $N_{\rm A}(N_{\rm D})$ : Doping,  $\tau$ : Carrier lifetime,  $\mu_{\rm n}(\mu_{\rm p})$ : Carriers mobility

 $R_{an}(R_{ap})$ : Auger recombination coefficient,  $N_{c}(N_{v})$ : Density of States

exhibiting a significant pinholes' effect. The qualitative understanding enabled through our simple 2-D model is useful for interpreting and predicting the underlying mechanisms involved in the experimental data.

#### APPENDIX

#### A. Quantum Mechanical Tunneling

 $T_{\text{QM}}$  calculated using TMM is shown in Fig. A1 (inset). The average transmission probability ( $\langle T_{\text{TMM}} \rangle$ ) through the oxide barrier can be calculated by [49]:

$$\langle T_{\rm TMM} \rangle = \int_{E_{\rm min}}^{E_{\rm max}} T_{\rm QM}(E) \frac{df(E)}{dE} dE$$
 (A1)

where f(E) is the fermi function.  $\langle T_{\text{TMM}} \rangle$  is then compared with the analytical tunneling probability ( $\langle T_{\text{WKB}} \rangle$ ) calculated using W-K-B approximation [45]:

$$\langle T_{\rm WKB} \rangle = e^{-\alpha \sqrt{\phi_{\rm ox}} t_{\rm ox}}$$
 (A2)

where  $\phi_{ox}$  is the original energy barrier for the carriers,  $\alpha$  is the tunneling constant which depends upon carrier tunneling mass (taken to be 0.5 times the rest mass for electron [50]–[52]) in SiO<sub>2</sub> and  $t_{ox}$  is the oxide thickness, varied in range of 0 – 3.3 nm. For Si/SiO<sub>2</sub> contact,  $\phi_{ox}$  for electrons and holes is 3.2 and 4.6 eV, respectively. Fig. A1 shows that  $\langle T_{\text{TMM}} \rangle$  matches closely with  $\langle T_{\text{WKB}} \rangle$ .

## B. Band Adjustment

In the simulations, the band bending is calculated through the coupled solution of electrostatic (Poisson) equation and charge density equations. Poisson equation at c-Si surface close to the back contact is given by:

$$\Delta^2 V(x, y) = \frac{\rho}{\epsilon_s} = \frac{q}{\epsilon_s} [N_{\rm D} - n(x, y)]$$
(A3)

where  $V(x, y) = -E_c/q$  is the electrostatic potential,  $E_c$  is the conduction band energy,  $\rho$  is the net charge density,





Fig. A2. Simulated conduction band profile for two different cases: i) with original parameters of  $SiO_2$  and ii) adjusted values of bandgap and electron affinity in  $SiO_2$ . The  $SiO_2$  thickness is 1.5 nm.

and  $N_D$  and n(x, y) are the doping (includes in-diffusion from poly-Si) and electron densities, respectively. The charge density is given by:

$$n(x, y) = N_{\rm c}F_{1/2}(E_{\rm c} - F_{\rm n}/k_{\rm B}T)$$
 (A4)

where  $N_c$  is the effective density of states (a material constant) for condition band,  $F_n$  is the quasi Fermi level for electrons,  $F_{1/2}$  is the Fermi–Dirac integral of order 1/2, and  $k_BT$  is the thermal energy.

Equations (A3) and (A4) are solved for a given set of boundary conditions which include the applied voltages and the continuity of currents at the contacts. Equations (A3) and (A4) imply that change in V(x, y) or the band bending are directly related to n(x, y). Hence, we need to ensure that the surface carrier density  $n_s$  at Si/SiO<sub>2</sub> interface does not vary while changing the bandgap ( $E_g$ ) or electron affinity ( $\chi$ ) of SiO<sub>2</sub>.

For a given set of applied conditions under a steadystate operation,  $n_s$  is a function of transmission probability through the oxide. As long as we satisfy (2) in our approach, transmission probability does not vary while changing the  $E_g$  or  $\chi$  of SiO<sub>2</sub>. As a result,  $n_s$  and, hence, the surface band bending remains independent to the changes in  $E_g$  and  $\chi$ .

Fig. A2 shows the simulated conduction band diagram at the POLO contact with original  $E_g$  and  $\chi$  of SiO<sub>2</sub>. For comparison, conduction band diagram which incorporates adjusted values of  $E_g$  and  $\chi$  of SiO<sub>2</sub> (while satisfying (2)) is plotted. It could be noted that bending in both cases matches nicely which validates the theoretical arguments provided in the above-mentioned discussion.

# C. Calculation of $J_o$ and $\rho_c$

 $J_{\rm o}$  is extracted from simulated ln(J) - V curve under dark. For  $\rho_{\rm c}$ , we simulate only the POLO junction including the in-diffusion region in c-Si under oxide to avoid effects of bulk resistance.  $\rho_{\rm c}$  is calculated by finding out the slope of J - V curve at high bias (close to  $V_{\rm oc}$ ) as shown in Fig. A3.

### D. 2-D Versus 3-D Crowding Resistance Effect

Since our 2-D model could underestimate the current crowding effects (see Fig. 1), we compare our simulated  $\rho_c$  and  $J_o$  values with those obtained through a widely accepted 3-D Fischer's model for a point-contacted solar cell [37], [42]



Fig. A3. Extraction of saturation current density  $J_o$  from simulated ln(J) - V curve of the solar cell (plotted on left axis). Dotted blue line is the extrapolated *y*-intercept of the curve which gives us the value of  $J_o$ . Plotted on the right axis is the extraction of contact resistance  $\rho_c$  from simulated J - V curve for the POLO contact. Slope of the curve gives us the value of  $\rho_c$ .



Fig. A4. Comparison of  $\rho_c$  and  $J_o$  as a function of  $D_{ph}$  calculated analytically (Fischer's model) and 2-D numerical simulations. Both models are in a good agreement for  $J_o$ . For  $\rho_c$ , the qualitative trend matches but the 3-D analytical values are  $\sim 5 \times$  higher as compared to 2-D simulations due to pinholes' crowding effect.

in Fig. A4. All physical parameters for the solar cell are kept similar for the comparison. While both models are in good qualitative agreement for  $\rho_c$ , a quantitative difference  $(\Delta \rho_c)$ of  $\sim 5 \times$  exists between the two approaches. We attribute it to the under-estimated crowding resistance in 2-D model and scale all simulated results for  $\rho_c$  with  $5 \times$  to incorporate the crowding effect. For  $J_0$ , 2-D versus 3-D models show a good match which implies that crowding effect is not substantial for  $J_0$ . This is because  $J_0$  includes the effect of the series resistance of thick base region which remains dominant over the crowding resistance for all  $D_{ph}$ .

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